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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 1 7 2001

In re application of

Thomas J. O'Connor et al I P

Serial No.: 09/903,724

Filed: 07/12/2001

Title: Apparatus and Method for Providing Pricing Hints During an

On-Line Auction

Before the Examiner:

Group Art Unit: 2167

Intellectual Property Law Dept.

International Business Machines Corp.

11400 Burnet Road

Internal Zip 4054

Austin, Texas 78758

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D. C. 20231 on September 13, 2001.

Beverly Carter

Signature

Date

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, D. C. 20231 Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied RECEIVED

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Technology Center 2100 herewith.

Respectfully submitted,

David A. Mims, Jr.

Attorney

Registration No. 32,708

(512) 823-0950

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In Place of FORM PTO 1449 (Modified)				Serial No. 09/903,724 Applicant: Thomas J. O'Connor et al.					
LIST OF PATENTS AND PUBLICATIONS FOR				Applicant: <u>Thomas J. O'Connor et al.</u> Filing Date: <u>07/12/2001</u>					
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